



MAIL STOP MISSING PARTS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: P.Y. Lum et al. Attorney Docket No.: ROSA122057
Application No.: 10/764,420 Group Art Unit: 1645
Filed: January 23, 2004
Title: METHODS FOR DETERMINING WHETHER AN AGENT POSSESSES A
DEFINED BIOLOGICAL ACTIVITY

TRANSMITTAL OF MISSING PARTS OF PATENT APPLICATION/
INFORMATION DISCLOSURE STATEMENT/
PETITION FOR EXTENSION OF TIME

Seattle, Washington 98101

TO THE COMMISSIONER FOR PATENTS:

A. Transmitted herewith are the following:

- X 1. An executed Combined Declaration and Power of Attorney.
X 2. A copy of the Notice to File Missing Parts.
X 3. The following additional documents: Information Disclosure Statement.
Patents, publications and other information enclosed for the Examiner's use.
Pursuant to 37 C.F.R. § 1.97(b), this Information Disclosure Statement is being
filed before the mailing date of a first Office Action on the merits.

COMPUTATION OF FEE

| | Number Filed | Number Extra | Rate | |
|---------------------------------------|-----------------|-----------------|------|--------|
| Basic Fee | | | | 790 |
| Total Claims | 67 - 20 | = 47 | x 18 | = 846 |
| Independent Claims | 5 - 3 | = 2 | x 88 | = 176 |
| Multiple Dependent Claim Surcharge | | | + | 130 |
| Extension of Time | | | + | 980 |
| TOTAL | | | | \$2922 |

11/17/2004 ZJUHR1 00000124 10764420

05 FC:1253

980.00 OP

LAW OFFICES OF
CHRISTENSEN O'CONNOR JOHNSON KINDNESS^{PC}
1420 Fifth Avenue
Suite 2800
Seattle, Washington 98101
206.682.8100

B. Petition for Extension of Time

Applicants respectfully request that the period for response to the outstanding Notice to File Missing Parts dated July 7, 2004, set to expire on September 7, 2004, be extended by 3 months, to expire on December 7, 2004. The enclosed check includes the 3-month extension fee of \$980.00.

C. Fees Enclosed

Enclosed is our Check No. 159347 in the amount of \$2,922.00 to cover the requisite fees.

D. Additional Fee Charges or Credit for Overpayment

The Commissioner is hereby authorized to charge any fees under 37 C.F.R. §§ 1.16, 1.17 and 1.18 which may be required during the entire pendency of the application, or credit any overpayment, to Deposit Account No. 03-1740. This authorization also hereby includes a request for any extensions of time of the appropriate length required upon the filing of any reply during the entire prosecution of this application. A copy of this document is enclosed.

Respectfully submitted,

CHRISTENSEN O'CONNOR
JOHNSON KINDNESS^{PLLC}



Barry F. McGurl
Registration No. 43,340
Direct Dial No. 206.695.1775

I hereby certify that this correspondence is being deposited with the U.S. Postal Service in a sealed envelope as first class mail with postage thereon fully prepaid and addressed to Mail Stop Missing Parts, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the below date.

Date: 11/12/04 Judith J. J. J.

BFM:jlj

LAW OFFICES OF
CHRISTENSEN O'CONNOR JOHNSON KINDNESS^{PLLC}
1420 Fifth Avenue
Suite 2800
Seattle, Washington 98101
206.682.8100



UNITED STATES PATENT AND TRADEMARK OFFICE

NOV 15 2004

UNITED STATES DEPARTMENT OF COMMERCE
 United States Patent and Trademark Office
 Address: COMMISSIONER FOR PATENTS
 P.O. Box 1450
 Alexandria, Virginia 22313-1450
 www.uspto.gov

| APPLICATION NUMBER | FILING OR 371 (c) DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|------------------------|-----------------------|------------------------|
| 10/764,420 | 01/23/2004 | Pek Yee Lum | ROSA122057 |

CONFIRMATION NO. 6586

FORMALITIES LETTER



OC000000013152727

26389
 CHRISTENSEN, O'CONNOR, JOHNSON, KINDNESS, PLLC
 1420 FIFTH AVENUE
 SUITE 2800
 SEATTLE, WA 98101-2347

11/17/2004 ZJUHR1 00000124 10764420

01 FC:1001 790.00 OP
 02 FC:1051 130.00 OP
 03 FC:1201 176.00 OP
 04 FC:1202 846.00 OP

Date Mailed: 07/07/2004

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 770 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

The applicant needs to satisfy supplemental fees problems indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Additional claim fees of \$1018 as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is **\$1918** for a Large Entity

- **\$770** Statutory basic filing fee.
- **\$130** Late oath or declaration Surcharge.

- Total additional claim fee(s) for this application is **\$1018**

- **\$172** for 2 independent claims over 3.
- **\$846** for 47 total claims over 20.

Replies should be mailed to: Mail Stop Missing Parts
Commissioner for Patents
P.O. Box 1450
Alexandria VA 22313-1450

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE